

07/14/01
jc966 U.S. PTO

07-16-01

A

Dkt. No. 0655/62438

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
Box Patent Application

S I R:

jc966 U.S. PTO
09/905532
07/14/01

Transmitted herewith for filing are the specification and claims
of the patent application of:

Antony John Rogers, Trevor Yann & Myles Jordan
Inventor

Detection of Viral Code Using Emulation of Operating System
Functions

Title of Invention

Enclosed are:

- ☒ Specification, including 20 claims;
☒ 4 sheet(s) of informal ☒ formal drawings;
☐ Oath or Declaration of Applicant(s);
☐ A Power of Attorney;
☐ An assignment of the invention to _____;
☐ A Preliminary Amendment.
☐ A verified statement to establish small entity status under
37 C.F.R. §1.27 is also enclosed.
☐ Applicant is entitled to small entity status.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED	NUMBER EXTRA*		RATE		FEE	
				SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	20-20	0	X	\$ 9	\$ 18	\$	\$ 0
Indep. Claims	5-3	2	X	\$ 40	\$ 80	\$	\$ 160
Multiple Dependent Claims Presented <u>Yes</u> <input checked="" type="checkbox"/> <u>No</u>				\$135	\$270	\$	\$ 0
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2				BASIC FEE		\$ 355	\$ 710
				TOTAL FEE		\$	870.00

Patent Application Transmittal
Page 2

☒ A check in the amount of \$ 870.00 to cover the filing fee.

☐ Please charge Deposit Account No. 03-3125 in the amount of \$.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 03-3125.

☒ Any additional filing fees under 37 C.F.R. §1.16.

☒ Any patent application processing fees under 37 C.F.R. §1.17.

☐ Applicant(s) hereby claim priority, under 35 U.S.C. §119(a)-(d), of the following foreign application(s):

<u>Application No.</u>	<u>Country</u>	<u>Filing Date</u>	<u>Certified Copy Enclosed</u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>	<u> </u>

☒ Applicant(s) hereby claim priority, under 35 U.S.C. §119(e), of the following United States provisional application(s):

<u>Provisional Application No.</u>	<u>Filing Date</u>
<u>60/218,467</u>	<u>July 14, 2000</u>
<u> </u>	<u> </u>
<u> </u>	<u> </u>

☐ Applicant(s) hereby claim priority, under 35 U.S.C. §120, of the following United States Application(s), or Section 365 of any PCT International Application(s) designating the United States:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>
<u> </u>	<u> </u>	<u> </u>

APPLICANT HEREBY REQUESTS THAT THE SUBJECT APPLICATION NOT BE PUBLISHED UNDER U.S.C. 122(b), AND CERTIFIES THAT THE INVENTION DISCLOSED IN THE APPLICATION HAS NOT BEEN AND WILL NOT BE THE SUBJECT OF AN APPLICATION FILED IN ANOTHER COUNTRY, OR UNDER A MULTILATERAL INTERNATIONAL AGREEMENT, THAT REQUIRES PUBLICATION AT EIGHTEEN MONTHS AFTER FILING.

X Address all future correspondence to:

Richard F. Jaworski

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

Tel. No.: (212) 278-0400

X Three copies of this sheet are enclosed.

X Other (identify) Express Mail Certificate Of Mailing
bearing label number EL531589064US.

Dated: July 13, 2001

Respectfully submitted,



Richard F. Jaworski

Registration No. 37,306

Paul Teng

Registration No. 40,837

Attorneys for Applicant(s)

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

(212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Antony John ROGERS, Trevor YANN & Myles JORDAN

Serial No.: Not Yet Known

Filed : Herewith

For : Detection of Viral Code Using Emulation of
Operating System Functions

Honorable Commissioner of Patents And Trademarks
Washington, D.C. 20231
Box: Patent Application

EL531589064US

EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EL531589064US
Date of Deposit: July 13, 2001

I hereby certify that the above-identified Application of filed herewith, including Specification (15 pages), Claims 1-20 (4 pages), Abstract(1 page), Formal Drawings (4 Sheets), Transmittal Letter in triplicate, and a check in the amount of \$ 870.00, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Honorable Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.



Paul Teng, Reg. No. 40,837
Person
Depositing Express Mail Material

Attorney for Applicants:
RICHARD F. JAWORSKI, Reg. No. 37,306
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400